

TIMBER PERMIT ANNEX LISTING
REVISED, DECEMBER 13, 1995

ANNEX -A-
GENERAL REQUIREMENTS

In accordance with 319.40-2, documentation accompanying each shipment (an importer document) must identify the commodity, quantity, and origin of the regulated material.

In accordance with 319.40-9, all shipments are subject to inspection and may require other actions deemed necessary by Plant Protection and Quarantine as a result of inspection. Notice of arrival may be required at the discretion of the Officer in Charge at the port of first arrival.

The regulated commodity, the container in which it is shipped, or the documents accompanying shipments will adequately describe the shipment in accordance with 319.40-9(c) unless the Officer in Charge for Plant Protection and Quarantine at the port of first arrival has notified the permittee that such documentation or portions of the documentation will be understood without being written for each shipment.

Permittee will notify the office issuing this permit of any changes in the status of the activities authorized in this permit, or substantive changes in the status of the permittee (such as change of company name, address, phone number, etc.) within 30 days of such changes occurring or 30 days prior to a shipment being authorized under this permit.

**ANNEX -B-
UNIVERSAL IMPORT OPTION
KILN DRIED OR HEAT TREATED LUMBER**

(1) Heat treated or kiln dried in accordance with 319.40-7 (c or d) prior to arrival. Cannot be commingled with other regulated materials unless all regulated articles in the same hold or container have been heat treated or kiln dried. Lumber on the vessel's deck must be in a sealed container unless it has been kiln dried.

(2) Heat treated or kiln dried lumber must be marked by permanent marking on each piece of lumber or on the cover of bundles of lumber HT or KD respectively. Alternatively, the importer document accompanying the shipment must state that the lumber has been heat treated or kiln dried in accordance with 319.40-7 (c or d).

(3) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

--OR--

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -C-
TROPICAL HARDWOOD LOGS AND LUMBER
(DEBARKED)**

(1) In accordance with 319.40-5(c)(1); tropical hardwood logs with no more than 2% of the total surface in a lot with bark and no single log with more than 5% bark on its surface. Lumber must be completely free of bark.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

--OR--

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -D-
TROPICAL HARDWOOD LOGS AND LUMBER
(GREATER THAN 15 PIECES; NOT DEBARKED)**

(1) In accordance with 319.40-5(c)(2), must be fumigated in accordance with section 319.40-7(f)(1)(ii) prior to arrival.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -E-
TROPICAL HARDWOOD LOGS AND LUMBER
(LESS THAN 15 PIECES; NOT DEBARKED)**

(1) In accordance with 319.40-5(c)(3), cannot be imported into Hawaii, Puerto Rico, or the Virgin Islands of the United States.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -F-
TEMPERATE HARDWOOD LUMBER
(WITHOUT BARK)**

- (1) Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.
- (2) In accordance with 319.40-5(d), temperate hardwood lumber must be fumigated as described in 319.40-7(f)(2) prior to arrival.
- (3) All pallets and other solid wood packing materials used with the shipment to the United States must be fumigated, heat treated, or treated with preservatives prior to arrival.
- (4) An importer document certifying treatment for the lumber and solid wood packing materials in accordance with 319.40-7(c), (d), (f), or (g) must accompany the shipment at the time of importation.

**ANNEX -F1-
TEMPERATE HARDWOOD LOGS (WITH OR WITHOUT BARK)
TEMPERATE HARDWOOD LUMBER (WITH BARK)**

- (1) Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.
- (2) In accordance with 319.40-5(d), temperate hardwood logs (with or without bark) or lumber (with bark) must be fumigated as described in 319.40-7(f)(1)(i) prior to arrival. Treatment certification must accompany the regulated material at the time of importation.
- (3) All pallets and solid wood packing materials used with the shipment must also be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -G-
UNTREATED RAILROAD TIES (CROSS-TIES)**

Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.

In accordance with 319.40-5(f), must be completely free of bark and accompanied by an importer document stating that the cross-ties will be pressure treated within 30 days following release from the first port of arrival.

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives in accordance with 319.40-7(c), (d), (f), or (g) within 30 days following release from the first port of arrival.

**ANNEX -H-
UNIVERSAL IMPORT OPTION
LOGS**

(1) In accordance with 319.40-6(a), must be debarked in accordance with Section 319.40-7(b) and heat treated in accordance with 419.40-7 (c) or (d) prior to arrival. A treatment certificate is required for each heat treated shipment. During the entire interval between treatment and export, the logs must be stored and handled in a manner which excludes any access to the logs by plant pests.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -J-
UNIVERSAL IMPORT OPTION
RAW LUMBER**

- (1) Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.
- (2) In accordance with 319.40-6(b)(2), must be completely debarked and cannot be commingled with other regulated materials unless the raw lumber and the other regulated articles are in separate holds or in separate sealed containers. Raw lumber on the vessel's deck must be in a sealed container.
- (3) Raw lumber must be consigned to: (name and place) operating under a valid compliance agreement with Plant Protection and Quarantine at the time the lumber is imported. Lumber must be heat treated within 30 days from the time the lumber is released from the port of first arrival.
- (4) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -K-
UNIVERSAL IMPORT OPTION
WOOD & BARK CHIPS FROM TROPICAL PLANTATION
GROWN TREES**

(1) In accordance with 319.40-6(c)(1)(i), must be accompanied by an importer document stating that the chips were derived from live, healthy, tropical species of plantation grown trees, grown in tropical areas. Chips must be free from rot at the time of importation.

(2) Chips cannot be commingled with other regulated materials (other than solid wood packing materials) unless the chips and the other regulated articles are in separate holds or in separate sealed containers. Chips may be shipped on deck if no other regulated articles are present on the vessel and the chips are completely covered by a tarpaulin during the entire journey. Shipments with tarped chips must transit directly from the port of export to the United States.

(3) Chips must be consigned to: *(name and place)* operating under a valid compliance agreement with Plant Protection and Quarantine at the time the chips are imported.

(4) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -L-
UNIVERSAL IMPORT OPTION
WOOD AND BARK CHIPS (NOT FROM TROPICAL PLANTATION
GROWN TREES)**

(1) In accordance with 319.40-6(c)(1)(ii), must be fumigated as described in 319.40-7(f)(3), heat treated as described in 319.40-7(c), or heat treated with moisture reduction as described in 319.40-7(d). Cannot be commingled with other regulated materials (other than solid wood packing materials) unless the chips and the other regulated articles are in separate holds or in separate sealed containers. Chips on the vessel's deck must be in a sealed container.

(2) Chips must be free from rot at the time of importation unless accompanied by an importer document stating that the entire lot was treated as described above.

(3) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -M-
UNIVERSAL IMPORT OPTION FOR WOOD TO BE USED AS
WOOD MULCH, HUMUS, COMPOST, OR LITTER**

(1) In accordance with 419.40-6(d), must be fumigated as described in 319.40-7(f)(3), heat treated as described in 319.40-7(c), or heat treated with moisture reduction as described in 319.40-7(d) prior to arrival.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -N-
PINUS RADIATA AND DOUGLAS FIR LOGS AND/OR LUMBER
FROM NEW ZEALAND**

Pinus radiata and Douglas Fir logs and/or lumber from New Zealand that are accompanied by a certificate stating that the logs and/or lumber meet the requirements of Section 319.40-5(b)(1)(i)(A) through (D) and are consigned to (*Name and Place*) that operates in accordance with Section 319.40-8, may be imported in accordance with paragraphs (A) through (F) of this annex.

- (A) The logs and/or lumber must be from live healthy trees which are apparently free of plant pests, plant pest damage, and decay organisms.
- (B) The logs and/or lumber must be debarked in accordance with Sec. 319.40-7(b) prior to fumigation.
- (C) The logs and/or lumber and solid wood packing materials to be used with the logs and/or lumber during shipment to the United States must be fumigated in accordance with Sec. 319.40-7(f)(1), within 45 days following the date the trees are felled and prior to arrival of the logs and/or lumber in the United States, in the holds or in sealable containers. Fumigation must be conducted in the same sealable container or hold in which the logs and/or lumber and solid wood packing materials are exported to the United States.
- (D) During shipment to the United States, no other regulated article is permitted on the means of conveyance with the logs and/or lumber, unless the logs and/or lumber and the other regulated articles are in separate holds or separate sealed containers. or, if the logs and/or lumber and other regulated articles are mixed in a hold or sealed container, the other regulated articles either have been heat treated with moisture reduction in accordance with Sec. 319.40-7(d), or have been fumigated in the hold or sealable container in accordance with paragraph (b)(1)(i)(C) of this section.
- (E) Upon arrival of the logs and/or lumber in the United States, the logs and/or lumber must be kept segregated from other regulated articles from the time of discharge from the means of conveyance until the logs and/or lumber are completely processed at (*Name and Place*) operating under a valid compliance agreement with Plant Protection and Quarantine in accordance with Sec. 319.40-8.
- (F) The logs and/or lumber must be moved from the port of first arrival to (*Name and Place*) operating under a valid compliance agreement in Plant Protection and Quarantine in accordance with Sec. 319.40-8 by as direct a route as reasonably possible.

**ANNEX -P-
PINUS RADIATA LOGS AND/OR LUMBER FROM CHILE**

Pinus radiata logs and/or lumber from Chile that are accompanied by a certificate stating that the logs and/or lumber meet the requirements of Section 319.40-5(b)(1)(i)(A) through (D) and are consigned to *(Name and Place)*

that operates in accordance with Section 319.40-8, may be imported in accordance with paragraphs (A) through (F) of this annex.

(A) The logs and/or lumber must be from live healthy trees which are apparently free of plant pests, plant pest damage, and decay organisms.

(B) The logs and/or lumber must be debarked in accordance with Sec. 319.40-7(b) prior to fumigation.

(C) The logs and/or lumber and solid wood packing materials to be used with the logs and/or lumber during shipment to the United States must be fumigated in accordance with Sec. 319.40-7(f)(1), within 45 days following the date the trees are felled and prior to arrival of the logs in the United States, in the holds or in sealable containers. Fumigation must be conducted in the same sealable container or hold in which the logs and lumber and solid wood packing materials are exported to the United States.

(D) During shipment to the United States, no other regulated article is permitted on the means of conveyance with the logs and/or lumber, unless the logs and/or lumber and the other regulated articles are in separate holds or separate sealed containers, or, if the logs and/or lumber and other regulated articles are mixed in a hold or sealed container, the other regulated articles either have been heat treated with moisture reduction in accordance with Sec. 319.40-7(d), or have been fumigated in the hold or sealable container in accordance with paragraph (b)(1)(i)(C) of this section.

(E) Upon arrival of the logs and/or lumber in the United States, the logs must be kept segregated from other regulated articles from the time of discharge from the means of conveyance until the logs and/or lumber are completely processed at *(Name and Place)* operating under a valid compliance agreement with Plant Protection and Quarantine in accordance with Sec. 319.40-8.

(F) The logs and/or lumber must be moved from the port of first arrival to *(Name and Place)* operating under a valid compliance agreement in Plant Protection and Quarantine in accordance with Sec. 319.40-8 by as direct a route as reasonably possible.

**ANNEX -Q-
PROCESSED PRODUCTS
UNPROCESSED PRODUCTS DEEMED TO PRESENT NO
SIGNIFICANT PEST RISK**

(1) Subject to inspection for verification of identity, degree of processing, and freedom from plant pests. Specific requirements pertinent to the commodity: *(insert conditions)*

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

--OR--

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -R-
CITES III FOR SWIETENIA MACROPHYLLA
BIGLEAF MAHOGANY**

(1) In accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) regulations, shipments of *Swietenia macrophylla* from Costa Rica must have a CITES export certificate accompany each shipment from Costa Rica, and a CITES permit issued by the U.S. Department of Agriculture (USDA), Animal and Plant Health Inspection Service (APHIS), Plant Protection and Quarantine (PPQ) Permit Unit.

(2) Shipments of *Swietenia macrophylla* from other countries in Central or South America must have a certificate of origin from the exporting country and a CITES permit issued by the USDA, APHIS, PPQ Permit Unit.

**ANNEX -S-
UNIVERSAL IMPORT OPTION
PRESERVATIVES**

(1) Treated with preservatives in accordance with 319.40-7(g) prior to arrival with a preservative product that is registered by the United States Environmental Protection Agency and in accordance with the label directions.

(2) All pallets and other solid wood packing materials used with the shipment are subject to inspection and must be totally free from bark and apparently free from live plant pests.

-OR-

All pallets and other solid wood packing materials used with the shipment must be heat treated, fumigated, or treated with preservatives. An importer document certifying treatment in accordance with 319.40-7(c), (d), (f), or (g) must accompany the regulated material at the time of importation.

**ANNEX -T-
CITES REGULATED MATERIAL**

(1) In accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) regulations, each shipment must have CITES export permits or certificates from the exporting country. Additional information may be obtained from the U.S. Fish and Wildlife Service, Office of Management Authority, at Area Code (703) 358-2095.

(2) A CITES General Permit issued by the U.S. Department of Agriculture, Animal and Plant Health Inspection Service, Plant Protection and Quarantine, Permit Unit is also required.